

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)				Attorney Docket Number 5656-34		Serial No. TBA	
				Applicants: Raymond E. Ideker et al.			
				Filing Date Concurrently herewith		Group	
U. S. PATENT DOCUMENTS							
Examiner Initial	Serial Number	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	2,071,215	02/1937	Petersen			
	2.	2,826,193	11/1965	Rentsch, Jr.			
	3.	3,219,031	11/23/65	Rentsch, Jr.			
	4.	3,455,298	07/15/69	Anstadt			
	5.	3,509,899	05/1970	Hewson			
	6.	3,613,672	10/19/72	Schiff	128	24.5	
	7.	3,825,015	07/23/74	Berkovits	128	404	
	8.	3,896,797	07/1975	Bucur			
	9.	3,995,623	12/07/76	Blake et al.	128	2.06 E	
	10.	4,048,990	09/20/77	Goetz	128	64	
	11.	4,192,293	03/11/80	Asrican	128	1D	
	12.	4,355,646	10/26/82	Kallok et al.	128	786	
	13.	4,365,639	12/28/82	Goldreyer	128	786	
	14.	4,397,306	08/1983	Weisfeldt et al.			
	15.	4,424,806	01/1984	Newman et al.			
	16.	4,444,195	04/24/84	Gold	128	642	
	17.	4,499,907	02/19/85	Kallok et al.	128	786	
	18.	4,536,893	08/27/85	Parravicini	623	3	
	19.	4,559,946	12/24/85	Mower	128	419D	
	20.	4,567,901	02/04/86	Harris	128	786	
	21.	4,637,397	20 JAN 87	Jones et al.	128	419 D	
	22.	4,643,201	02/17/87	Stokes	128	786	
	23.	4,690,134	09/01/87	Snyders	128	64	
	24.	4,693,253	09/15/87	Adams	128	419D	
	25.	4,708,145	11/24/87	Tacker, Jr. et al.	128	419D	

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EDR	26.	4,731,076	03/15/88	Noon et al.	623	3	
	27.	4,800,883	31/01/89	Winstrom	128	419 D	
	28.	4,850,357	25/07/89	Bach, Jr.	128	419 D	
	29.	4,901,725	02/20/90	Nappholz et al.	128	419	
	30.	4,928,674	05/1990	Halperin et al.			
	31.	4,928,688	05/29/90	Mower	128	419	
	32.	5,107,834	04/28/92	Ideker et al.	128	419 D	
	33.	5,165,403	11/24/92	Mehra	128	419	
	34.	5,169,381	12/08/92	Snyders	600	16	
	35.	5,184,616	09/02/93	Weiss	128	419	
	36.	5,201,808	04/13/93	Steinhaus et al.	128	419	
	37.	5,209,229	05/11/93	Gilli	128	419	
	38.	5,224,476	07/06/93	Ideker et al.	128	419D	
	39.	5,230,337	07/1993	Dahl et al.	607	5	
	40.	5,235,977	08/17/93	Hirschberg et al.	607	5	
	41.	5,235,978	08/17/93	Hirschberg et al.	607	5	
	42.	5,251,624	10/12/93	Bocek et al.	607	6	
	43.	5,256,132	10/26/93	Snyders	600	16	
	44.	5,267,559	12/07/93	Jin et al.	128	419 D	
	45.	5,269,298	12/14/93	Adams et al.	128	419 D	
	46.	5,269,319	12/14/93	Schulte et al.	128	786	
	47.	5,282,836	02/01/94	Kreyenhagen et al.	607	4	
	48.	5,292,338	03/08/94	Bardy	607	5	
	49.	5,295,481	03/22/94	Geehan	601	43	
	50.	5,303,702	19/04/94	Bonnet Jean-Luc et al.	607	20	
	51.	5,304,139	04/19/94	Adams et al.	607	122	
	52.	5,304,218	04/19/94	Alferness	607	122	
	53.	5,312,444	05/17/94	Bocek et al.	607	5	
ED	54.	5,313,953	05/1994	Yomtov et al.	600	508	

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EDB	55.	5,314,430	05/24/94	Bardy	607	5	
	56.	5,324,309	06/28/94	Kallok	607	5	
	57.	5,331,966	07/26/94	Bennett et al.	128	696	
	58.	5,332,400	07/26/94	Alferness	607	5	
	59.	5,344,430	09/06/94	Berg et al.	607	8	
	60.	5,348,021	09/20/94	Adams et al.	128	708	
	61.	5,350,402	09/27/94	Infinger et al.	607	5	
	62.	5,366,485	11/22/94	Kroll et al.	607	5	
	63.	5,366,486	11/22/94	Zipes et al.	607	5	
	64.	5,385,528	01/31/95	Wilk	600	18	
	65.	5,387,233	02/07/95	Alferness et al.	607	126	
	66.	5,395,373	03/07/95	Ayers	607	8	
	67.	5,403,351	04/04/95	Saksena	607	4	
	68.	5,403,354	04/04/95	Adams et al.	607	5	
	69.	5,405,375	04/11/95	Ayers et al.	607	122	
	70.	5,411,527	05/02/95	Alt	607	5	
	71.	5,423,772	06/13/95	Lurie et al.	607	282	
	72.	5,431,681	07/11/95	Helland	607	4	
	73.	5,431,682	11/07/95	Sven-Erik Hedberg	607	5	
	74.	5,431,683	07/11/95	Bowald et al.	607	5	
	75.	5,433,729	07/18/95	Adams et al.	607	5	
	76.	5,433,730	07/18/95	Alt	607	5	
	77.	5,441,519	08/15/95	Sears	607	5	
	78.	5,443,491	08/22/95	Snichelotto	607	122	
	79.	5,447,519	09/05/95	Peterson	607	5	
	80.	5,456,706	10/10/95	Pless et al.	607	122	
	81.	5,464,429	11/07/95	Hedberg et al.	607	4	
	82.	5,464,432	11/07/95	Infinger et al.	607	5	
⊕	83.	5,466,221	11/14/95	Zadini et al.	604	96	

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EDB	84.	5,470,348	11/28/95	Neubauer et al.	607	68	
	85.	5,476,498	12/19/95	Ayers	607	122	
	86.	5,476,499	12/19/95	Hirschberg	607	123	
	87.	5,484,391	01/16/96	Buckman, Jr. et al.	601	135	
	88.	5,486,199	01/23/96	Kim et al.	607	5	
	89.	5,487,753	30/01/96	MacCarter et al.	607	017	
	90.	5,489,293	02/06/96	Pless et al.	607	5	
	91.	5,496,345	03/05/96	Kieturakis et al.	606	192	
	92.	5,514,153	05/07/96	Bonutti	606	190	
	93.	5,522,853	04/06/96	Mark W. Kroll	607	5	
	94.	5,531,764	07/02/96	Adams et al.	607	5	
	95.	5,540,711	07/30/96	Kieturakis et al.	606	192	
	96.	5,554,176	09/10/96	Maddison et al.	607	9	
	97.	5,560,369	10/1/96	McClure et al.	128	704	
	98.	5,571,074	11/05/96	Buckman, Jr. et al.	601	6	
	99.	5,573,517	11/12/96	Bonutti et al.	604	264	
	100.	5,578,064	11/26/96	Prutchi	607	19	
	101.	5,582,580	12/10/96	Buckman, Jr. et al.	601	41	
	102.	5,584,865	12/17/96	Hirschberg et al.	607	5	
	103.	5,593,418	01/14/97	Mollenauer	606	192	
	104.	5,601,581	02/11/97	Fogarty et al.	606	159	
	105.	5,601,589	02/11/97	Fogarty et al.	606	192	
	106.	5,601,590	02/11/97	Bonutti et al.	606	192	
	107.	5,607,443	03/04/97	Kieturakis et al.	606	192	
	108.	5,609,621	03/11/97	M. Bonner	607	122	
	109.	5,618,287	04/08/97	Fogarty et al.	606	129	
	110.	5,620,471	04/1997	Duncan	607	14	
	111.	5,624,381	04/29/97	Kieturakis et al.	600	206	
EDB	112.	5,630,789	05/20/97	Schock et al.	601	41	

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EDB	113.	5,653,726	08/05/97	Kieturakis et al.	606	190	
	114.	5,667,479	09/16/97	Kieturakis et al.	600	207	
	115.	5,667,520	09/16/97	Bonutti	606	190	
	116.	5,683,364	11/4/97	Zadini et al.	604	98	
	117.	5,683,429	11/04/97	Mehra	602	14	
	118.	5,685,826	11/11/97	Bonutti	600	204	
	119.	5,690,668	11/25/97	Fogarty et al.	606	192	
	120.	5,694,951	12/09/97	Bonutti	128	898	
	121.	5,697,953	12/16/97	Kroll et al.	607	5	
	122.	5,702,416	12/30/97	Kieturakis et al.	606	193	
	123.	5,702,417	12/30/97	Hermann	604	194	
	124.	5,707,390	01/13/98	Bonutti	606	204	
	125.	5,716,325	02/10/98	Bonutti	600	204	
	126.	5,718,718	02/17/98	Kroll et al.	607	5	
	127.	5,730,748	03/24/98	Fogarty et al.	606	159	
	128.	5,730,756	03/24/98	Kieturakis et al.	606	190	
	129.	5,800,469	01/07/98	Nappholz	607	18	
	130.	5,800,470	09/01/98	Stein et al.	607	20	
	131.	5,861,012	01/19/99	Stroebel	607	28	
	132.	5,978,704	11/02/99	Ideker et al.	607	123	
	133.	5,978,705	11/02/99	KenKnight et al.	607	5	
	134.	5,987,354	11/16/99	Cooper et al.	607	5	
	135.	6,002,962	12/14/99	Huang et al.	607	5	
	136.	6,006,131	12/21/99	Cooper et al.	607	5	
	137.	6,059,750	05/09/00	Fogarty et al.	604	96	
	138.	6,148,230	11/14/00	KenKnight	600	516	
	139.	6,179,793	01/30/01	Rothman et al.	601	44	
	140.	6,200,280	03/13/01	Brenneman et al.	601	41	
	141.	6,275,730	08/14/01	KenKnight et al.	607	5	

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EDB	142.	6,285,907 B1	09/04/01	Kramer et al.	607	9		
	143.	6,287,267	09/11/01	Brenneman et al.	601	41		
	144.	6,327,500	12/04/01	Cooper et al.	607	5		
	145.	6,371,119	04/16/02	Zadini et al.	128 845			
	146.	6,406,444	06/18/02	Brenneman et al.	601	41		
	147.	6,503,265	01/07/03	Fogarty et al.	606	192		
EDB	148.	10/238,343	09/10/02	Ideker et al.				
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation Yes No	
EDB	149.	EP0472 411 A1	02/26/92	EPO			X	
	150.	EP0509773 A1	04/15/92	EPO				
	151.	EP0554 208 A2	08/4/93	EPO			X	
	152.	0 601 340 A1	06/15/94	EPO			X	
	153.	WO96/23546	08/1996	WIPO				
	154.	WO 97/01373	01/16/97	PCT				
	155.	0804938A2	11/05/97	EPO				
	156.	0095726B1	02/11/88	EPO			X	
EDB	157.	W099/65561	23/12/99	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
EDB	158.	Allessie et al., "Regional control of atrial fibrillation by rapid pacing in conscious dogs," <u>Circulation</u> 1991;84:1689-1697.						
	159.	Capucci et al., "Capture window in human atrial fibrillation: evidence of an excitable gap," <u>J Cardiovasc Electrophysiol</u> 1999;10:319-327.						
	160.	Cooper et al., "Internal Cardioversion of Atrial Fibrillation in Sheep," Atrial Fibrillation: Mechanisms and Therapeutic Strategies, (1994) pp. 325-332.						
	161.	Cooper et al., "Internal Cardioversion of Atrial Fibrillation in Sheep," <u>Circulation</u> , Vol. 87, No. 5, May 1993, pp. 1673-1685.						
EDB	162.	Daoud et al. "Response of Type I atrial fibrillation to atrial pacing in humans," <u>Circulation</u> 1996;94:1036-1040.						

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EDB	163.	Feeser et al., "Strength-Duration and Probability of Success Curves for Defibrillation with Biphasic Waveforms," <i>Circulation</i> , Vol. 82, No. 6, December 1990, pp. 2128-2141.	
	164.	Garcia-Calvo et al., "The effects of selective stellate ganglion manipulation on ventricular refractoriness and excitability," <i>PACE</i> , 1992;15:1492-1503.	
	165.	Halperin et al., "A Preliminary Study of Cardiopulmonary Resuscitation by Circumferential Compression of the Chest with Use of a Pneumatic Vest," <i>The New England Journal of Medicine</i> , September 9, 1993, Vol. 329:762-768.	
	166.	Huang et al., "Evolution of the organization of epicardial activation patterns during ventricular fibrillation," <i>J Cardiovasc Electrophysiol</i> , 1998;9:1291-1304.	
	167.	KenKnight et al., "Regional capture of fibrillating ventricular myocardium: Evidence of an excitable gap," <i>Circ Res</i> 1995;77:849-855.	
	168.	Kirchhof et al., "Regional entrainment of atrial fibrillation studied by high-resolution mapping in open-chest dogs," <i>Circulation</i> 1993;88:736-749.	
	169.	Knisley et al., "Line stimulation parallel to myofibers enhances regional uniformity of transmembrane voltage changes in rabbit hearts," <i>Circ Res</i> 1997;81:229-241.	
	170.	Kroll, Mark W., "A Minimal Model of the Monophasic Defibrillation Pulse," <i>PACE</i> , Vol. 16, April 1993, Part I, pp. 769-777.	
	171.	Lammers, W. J.E.P. et al., <i>The use of fibrillation cycle length to determine spatial dispersion in electrophysiological properties and to characterize the underlying mechanism of fibrillation</i> , <i>New Trends In Arrhythmias</i> , Vol. II, N.1, January-March 1986, pages 109-112.	
	172.	Lewalter et al., "The Low Intensity Treadmill Exercise" Protocol for Appropriate Rate Adaptive Programming of Minute Ventilation Controlled Pacemakers," (July 1995) <i>PACE</i> , 18:1374-1387.	
	173.	Lok et al., "Clinical Shock Tolerability and Effect of Different Right Atrial Electrode Locations on Efficacy of Low Energy Human Transvenous Atrial Defibrillation Using an Implantable Lead System", <i>JACC</i> 30:5 (1997) 1324-1330.	
	174.	Lüderitz et al., "Nonpharmacologic Strategies for Treating Atrial Fibrillation," <i>The American Journal of Cardiology</i> , Vol. 77, January 25, 1996, pp. 45A-52A.	
	175.	Neri et al.; "Internal Cardioversion of Chronic Atrial Fibrillation in Patients", <i>PACE</i> 20 (1997) 2237-2242.	
	176.	Ophhof et al., "Dispersion of refracteries in canine ventricular myocardium: Effects of sympathetic stimulation," <i>Circ Res</i> 1991;68:1204-1215.	
	177.	Prof. Dr. med. Eckhard Alt; "Letters to the Editor", (1998) <i>PACE</i> 21 633-634.	
	178.	Province et al., "Effect of pulse train amplitude and waveform on ability to entrain fibrillating rabbit ventricle with epicardial pacing," (1999) <i>PACE</i> , 22:A66 (Abstract).	
D	179.	Qin, Hao et al., "Recurrence Patterns After Failed Defibrillation of Spontaneous Ventricular Fibrillation During Acute Ischemia," <i>Supplement to Journal of the American College of Cardiology</i> , pg 3, March 6, 2002, Volume 39, Number 5 Supplement A.	

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EDR	180.	Qin, Hao et al., "Difibrillation Efficacy for Spontaneous and electrically-Induced Ventricular Fibrillation During Acute Ischemia," Supplement to Circulation Journal of the American Heart Association, #2125, 2000.	
	181.	Qin, Hao et al., "Impact of Myocardial Ischemia and Reperfusion on Ventricular Defibrillation Patterns, Energy Requirements, and Detection of Recovery," (Circulation 2002;105:2537) Published online before print May 6, 2002, 10.1161/01.CIR.0000016702.86180.F6	
	182.	Rogers et al., "A quantitative framework for analyzing epicardial activation patterns during ventricular fibrillation," Ann Biomed Eng 1997; 25:749-760.	
	183.	Rogers et al., "Recurrent wavefront morphologies: a method for quantifying the complexity of epicardial activation patterns," Ann Biomed Eng 1997; 25:761-768.	
	184.	Rollins et al., "Macintosh based programmable cardiac stimulatr," J Am Coll Cardiol, 15:261A (1990) Abstract.	
	185.	Rozenberg et al., "Prehospital use of minimally invasive direct cardiac massage (MIDCM): a pilot study," Resuscitation 50 (2001) 257262.	
	186.	Vander et al. "Human Physiology—The Mechanisms of Body Functio," January, 1985 pp.230-236,.	
	187.	Wharton et al., "Cardiac potential and potential gradient fields generated by single, combined, and sequential shocks during ventricular defibrillation," Circulation 1992; 85:1510-1523.	
	188.	Wright et al., "Cardiac Rhythm Management Laboratory: <i>In Vivo</i> Study Protocol, Internal Atrial Defibrillation in Sheep Using Sequential Biphasic Waveforms," CRM Laboratory, University of Alabama – Birmingham Medical Center, October, 1995.	

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